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| | ANSMITTAL LETTER TO | ATTTORNEY'S DOCKET NUMBER ON/4-32586A | | | | | | | | |
|---|---|---|--|--|--|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | U.S. APPLICATION NO. ILKNOWN SCOOT FRIED | | | | | | | |
| | TIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | |
| | PCT/IB03/01985 | 23 May 2003 (23.05.03) | 24 July 2002 (24.07.02) | | | | | | | |
| TITLE OF | TITLE OF INVENTION 4-(4-METHYLPIPERAZIN-1-YLMETHYL)-N-(4-METHYL-3-(4-PYRIDIN-3-YL)PYRIMIDIN-2-YLAMINO)PHENYL)- BENZAMIDE FOR TREATING ANAPLASTIC THYROID CANCER | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | | | | | |
| OHTSURU ET AL. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| | | | | | | | | | | |
| | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | |
| | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | |
| 3. 🔲 | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | |
| 4. | The US has been elected (Article 31). | | | | | | | | | |
| 5. 🗶 | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| | b. X has been communicated by the International Bureau. | | | | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | |
| | a. is attached hereto. | | | | | | | | | |
| _ | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 7. [X] | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | |
| | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| | b. have been communicated by the International Bureau. | | | | | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | |
| | d. kave not been made and will not be made. | | | | | | | | | |
| 8. 🔲 | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | |
| 9. | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | |
| Items | Items 11 to 20 below concern document(s) or information included: | | | | | | | | | |
| 11. | An Information Disclosure Statement | under 37 CFR 1.97 and 1.98. | | | | | | | | |
| 12. 🔲 | An assignment document for recording | g. A separate cover sheet in compliance wit | h 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. 🗶 | A preliminary amendment. | | | | | | | | | |
| 14. | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | |
| 15. | A substitute specification. | | | | | | | | | |
| 16. 🔲 | A power of attorney and/or change of address letter. | | | | | | | | | |
| 17. 🔼 | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | | | |
| 18. 🔲 | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 19. | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. | Other items or information: Unexecuted Declaration and Power of Attorney | | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Do NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICAT | LION NO. (il known, see | 37 CFR (5) | INTERNATIONAL AP | PLICAT | TION NO. | ATTORNEY'S DO | CKET NUMBER | | |
|---|---|--|---|-----------|-------------------|-------------------------|-----------------|--|--|
| | 10/521 | 769 | PCT/IB03/ | /0198 | 5 | ON/4-3 | 2586A | | |
| | ing fees are submitted: | | | | | | | | |
| a) Basic na | ational fee | 300.00 | \$ 300.00 | | | | | | |
| b) Examination fee\$200.00 | | | | | | \$ 200.00 | | | |
| C) Search | fee | \$ 500.00 | | | | | | | |
| | TOTAL OF ABOVE CA | \$ 1,000.00 | | | | | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | |
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| - 100 = | /50 = | | 0 | , | × \$250.00 | \$ 0.00 | | | |
| | 30.00 for furnishing the odate (37 CFR 1.492(e)). | \$ 0.00 | | | | | | | |
| CLAIMS | NUMBER FI | LED | NUMBER EXTRA | , | RATE | \$ | | | |
| Total claims | | 8 - 20 = | 0 | × | \$50.00 | \$ 0.00 | | | |
| Independent cla | ims | 3 - 3 = | 0 | × | \$200.00 | \$ 0.00 | | | |
| MULTIPLE DEP | ENDENT CLAIM(S) (if ap | oplicable) | | + | \$360.00 | \$ 0.00 | | | |
| | | LATIONS = | \$ 1,000.00 | | | | | | |
| Applicant of by 1/2. | claims small entity status. | See 37 CFR | 1.27. The fees indicated ab | ove are | reduced | \$ | - | | |
| | | | | sı | JBTOTAL = | \$ 1,000.00 | | | |
| | of \$130.00 for furnishing t date (37 CFR 1.492(f)). | he English trar | nslation later than 30 month | ns from | the earliest + | \$ | | | |
| | | \$ 1,000.00 | | | | | | | |
| | g the enclosed assignment e cover sheet (37 CFR 3. | companied + | \$ | | | | | | |
| | | CLOSED = | \$ 1,000.00 | | | | | | |
| - | | | | | | Amount to be refunded: | \$ | | |
| | | | | | | Amount to be charged: | \$ | | |
| a. A chec | ck in the amount of \$ | | to cover the above | ve fees i | is enclosed. | | | | |
| b. Please charge my Deposit Account No. 19-0134 in the amount of \$ 1.000.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 19-0134. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| NOTE: Where a | n appropriate time limit restore the Internationa | under 37 CFf I Application | R 1.495 has not been met, to pending status. | , a petit | ion to revive | (37 CFR 1.137(a) or (b) |) must be filed | | |
| SEND ALL COR | RESPONDENCE TO: | Lade - | _ | | | | | | |
| Novartis | | (| | | | | | | |
| Corporate Intellectual Property Oona A. | | | | | | ackson | | | |
| One Health Plaza, Bldg. 104 | | | | | | | | | |
| East Hanover, NJ 07936-1080 48,152 REGISTRATI | | | | | | N NI IMPER | | | |
| | _ , , | | | r | | AT AUDIDER | | | |